

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Chappa et al. )  
Serial No. 10/028,518 )  
Filed: 21 December 2001 )  
For: REAGENT AND METHOD FOR )  
PROVIDING COATINGS ON )  
SURFACES )  
To: Commissioner for Patents )  
Washington, D.C. 20231 )

Art Unit:

Our Ref. 9896.149.0

I hereby certify that this correspondence is being:

deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231  
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hand delivered to the Patent and Trademark Office

on this 21 day of October 2002

By Marilyn E. Thomas

COMMUNICATION REGARDING STATEMENT LICENSING & REVIEW

SIR:

Enclosed herewith please find a "Statement To DOE Required Under 42 USC § 2182 and/or 42 USC § 2457", in response to the "Requirement for Statement Under §152 of the Atomic Energy Act" mailed September 23, 2002, the 30 day period for response to which is set to expire 23 October 2002.

In accordance with telephone conversations between the undersigned and Mr. James Samuels as well as Ms. C. Jeter of the US Patent and Trademark Office, the Statement is being submitted by facsimile and is signed by the undersigned attorney of record and on behalf of the named inventors and assignee.

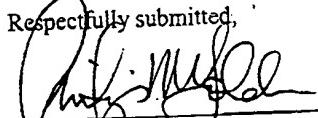
It is the Applicant's understanding that the enclosed submission will be sufficient to place the application in condition for examination. If the Office has any questions or concerns regarding the enclosed Statement, please call the undersigned at the number provided below.

The Commissioner is hereby authorized to charge any filing fees required to Deposit  
Account No. 061910. A duplicate copy of this sheet is enclosed.

Dated:

31 OCT 2002

Respectfully submitted,

  
Philip M. Goldman  
Registration No. 31,162  
Fredrikson & Byron, P.A.  
4000 Pillsbury Center  
200 South Sixth Street  
Minneapolis, MN 55402-1425  
(612) 492-7088  
Customer No. 022859

PMG/Comm.  
10/028,518  
2715381

Serial No. 10/028,518

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: ) Art Unit: 1762  
Chappa et al. ) Our Ref. 9896.149.0  
Serial No. 10/028,518 )  
Filed: 21 December 2001 )  
For: REAGENT AND METHOD FOR )  
PROVIDING COATINGS ON )  
SURFACES )  
To: Commissioner for Patents )  
Washington, D.C. 20231 )  
Attention: Licensing and Review )

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on this 21 day of October 2002

*Marilyn E. Thompson*

001-21-2002  
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BY

STATEMENT TO DOE REQUIRED UNDER 42 USC § 2182 AND/OR 42 USC § 2457

6/15/02  
Your Petitioner, SURMODICS, INC., a Minnesota corporation, located at 9924 West 74<sup>th</sup> Street, Eden Prairie, Minnesota, declares:

That it is the owner of all interest in and to the above-identified patent application by virtue of an Assignment from the inventors, Ralph A. Chappa, Sean M. Stucke, Richard A. Amos, Terrence P. Everson, Stephen J. Chudzik, Dale G. Swan and Peter H. Duquette, which Assignment was recorded on December 21, 2001 at Reel 0124237, Frame 0072;

That the above named inventors made and conceived the invention described above;

That the above named inventors made and conceived this invention while employed by Surmodics Inc., a Minnesota corporation having its principle place of business at 9924 West 74<sup>th</sup> Street, Eden Prairie, Minnesota, 55344-3523;

That the invention is related to the work the above named inventors are employed to perform and was made within the scope of their employment duties;

That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Surmodics, Inc.;

That the invention was not made or conceived in the course of, or in connection with, or under the terms of any contact, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy, or have any relationship to any work under and contract of the National Aeronautics and Space Administration;

That the invention does not relate to the production or utilization of special nuclear material or atomic energy in the manner contemplated in Title 42, United States Code, Section 2182;

That the invention does not have significant utility in the conduct of aeronautical and spaces activities in the manner contemplated in Title 42 United States Code Section 2457; and

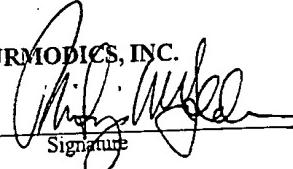
That the undersigned is an attorney of record in this application and is empowered to act on behalf of the Petitioner.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

21 OCT 2002

SURMODICS, INC.

  
Signature

Philip M. Goldman  
Typed or Printed Name

Attorney of Record  
Title

# Fredrikson

& BYRON

**FAX**

DATE: 21 October 2002

TO: Ms. C. Jeter  
 COMPANY: U.S. Patent and Trademark Office – Licensing and Review  
 FAX: 703 305-6384

DIRECT DIAL:

FROM: Philip M. Goldman

DIRECT DIAL: (612) 492-7088

TOTAL NUMBER OF PAGES (including this page): 5 1C

RE Serial No. 10/028,518  
 Applicant(s): Chappa et al.  
 Filing Date: 21 December 2001  
 Atty. No. 9896.149.0

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**COMMENTS:**

Enclosed for filing is Applicant's Communication Regarding Statement and Statement To DOE Required Under 42 USC § 2182 AND/OR 42 USC § 2457 in connection with the above-captioned patent application.

Certificate of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on 21 October 2002.

21 October 2002 *Madelyn Thompson*  
 Signature  
 Date

21-10-02-01:00 RCVD

Fredrikson & Byron, P.A.  
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C/M:	09896.0149
EQ. NO. ENTERED:	
NAME:	Madelyn Thompson
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VERIFIED:	met